PTO/SB/05 (08-03) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. P06667US0-169G Attorney Docket No. UTILITY STEVEN P. HERGOTT, ET AL. PATENT APPLICATION First Inventor TRANSMITTAL METHOD AND MEANS FOR .... Title EV 353095079 US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. **Mail Stop Patent Application** APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 1. **7** Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. Specification [Total Pages Computer Readable Form (CRF) (preferred arrangement set forth below) - Descriptive title of the invention b. Specification Sequence Listing on: - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Statements verifying identity of above copies - Detailed Description **ACCOMPANYING APPLICATION PARTS** - Claim(s) - Abstract of the Disclosure 9. Assignment Papers (cover sheet & document(s)) Drawing(s) (35 U.S.C. 113) [Total Sheets \_ 10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney [Total Sheets Oath or Declaration English Translation Document (if applicable) Newly executed (original or copy) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations Copy from a prior application (37 CFR 1.63(d)) 13. 📖 **Preliminary Amendment** (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) **DELETION OF INVENTOR(S)** 15. 📖 Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 6. **V** or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. Other: POWER OF ATTORNEY 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) Continuation Divisional of prior application No.: ..... Prior application information: Examiner Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disciosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS ~ **Customer Number:** 34082 Correspondence address below Name Address

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City

Country

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Name (Print/Type)

DONALO H) ZARLEY





Telephone 515-558-0200

Date

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		for	FY	20	03		

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$) 375.00 **TOTAL AMOUNT OF PAYMENT** 

Signature

Complet if Known					
Application Number					
Filing Date					
First Named Inventor	STEVEN P. HERGOTT, ET AL.				
Examiner Name					
Art Unit					
Attorney Docket No.	P06667US0-169G				

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)					
Check Credit card Money Other None					3. ADDITIONAL FEES					
Deposit Account:					<u>Large</u>	Large Entity   Small Entity				
Deposit Account	Deposit 50 0000		Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid		
Number	<del></del>				1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account Name	ZARL	EY L	AW FIRM PLC		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)						130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments						2,520	1812	2,520	For filing a request for ex parte reexamination	<b></b>
Charge any additional fee(s) during the pendency of this application						920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.					1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	FE	E CA	LCULATION		1251	110	2251	55	Extension for reply within first month	
1. BASIC F					1252	410	2252	205	Extension for reply within second month	
Large Entity S	Small Enti	ty			1253	930	2253	465	Extension for reply within third month	
	Fee Fee Code (\$)		ee Description	Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month	
	2001 37		Utility filing fee	[275 00 ]	1255	1,970	2255	985	Extension for reply within fifth month	
1002 330	2002 16	5	Design filing fee	375.00	1401	320	2401	160	Notice of Appeal	
1003 520	2003 26	0	Plant filing fee		1402	320	2402		Filing a brief in support of an appeal	"
1004 750	2004 37	5	Reissue filing fee		1403	280	2403	140	Request for oral hearing	
1005 160	2005 8	0	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 375.00					1452	110	2452		Petition to revive - unavoidable	
2 FYTRA	2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE					1,300	2453	650	Petition to revive - unintentional	
			Fee fr	om	1501	1,300	2501	650	Utility issue fee (or reissue)	
Total Claims	4		xtra Claims below	w Fee Paid □ □ 0	1502	470	2502	235	Design issue fee	
Independent	2 - 3** = 0 x 0 =0		1503	630	2503	315	Plant issue fee			
Claims Multiple Deper			1 40	1460	130	1460	130	Petitions to the Commissioner		
		4†4	<u>[U</u>		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
<u>Large Entity   Small Entity</u> Fee Fee Fee <u>Fee Description</u>				1806	180	1806	180	Submission of Information Disclosure Stmt		
Code (\$) 1202 18	<b>Code</b> 2202	( <b>\$</b> ) 9	Claims in excess of 2	n	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1201 84	2201	42	Independent claims in		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 280	2203	140	Multiple dependent cla	aim, if not paid	1810	750	2810	375	For each additional invention to be	
1204 84	2204	42	** Reissue independe over original patent	nt claims	4004	750	0004		examined (37 CFR 1.129(b))	
1205 18	2205	0			1801	750	2801		Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent					1802 900 1802 900 Request for expedited examination of a design application					
SUBTOTAL (2) (\$) 0						Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above					*Redu	ced by	Basic F	Filing Fe	subtotal (3) (\$) 0	
SUBMITTED BY									(Complete (if applicable))	
Name (Print/Type) DONALD H. ZARLEY					Registration No. 18,543 Telephone 515-558-0200					

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## CERTIFICATE OF EXPRESS MAILING

I hereby certify that the enclosed Patent Application and accompanying documents and a check for \$375.00 have been mailed by the U.S. Postal Service's Express Mail in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450 prior to 5:00 p.m. on the day of September, 2003.

Ann L. Ketterling

Express Mail #EV 353095079US